

PRELIMINARY REPORT

Data covering period from October 1, 2016 to October 31, 2016

Client Security Fund

The Client Security Fund is designed as a remedy for legal consumers, in addition to, but separate from discipline. Financed by an annual membership assessment, the Client Security Fund reimburses victims up to \$100,000 for losses due to attorney theft or an act equivalent to theft.

Category	Current Month	YTD
Number of applications received	78	1,000*
Number of applications paid	232	1,335
Amount paid	\$715,552	\$6,327,338**
Average Amount Paid	\$3,084	\$4,740
Number of applications denied/terminated	74	380
Number of applications outstanding	4,737	4,737
Number of Staff	8	8
Number of Vacancies	0	0

5-Year CSF Summary of Applications Paid

Year	No. of Applications Paid	Applications Paid in Dollars
2012	1,466	\$6,870,643
2013	1,999	\$11,054,352
2014	1,152	\$9,031,386
2015	821	\$6,012,453
2016	1,335	\$6,327,338

* 1 re-opened application for the current month and a total of 20 YTD

** Out of \$6 million budgeted for 2016 (Effective March 11, 2016, the budget is \$8 million)

CLIENT SECURITY FUND
2016 NEW APPLICATIONS BY AREA OF LAW BY MONTH

PRACTICE AREA	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEPT	OCT	NOV	DEC	TOTAL	% TO TOTAL
ADA Law / Disability														
Admin / Govt									1	1			2	0.2%
Arbitrator / Mediator														
Bankruptcy	5	6	8	7	4	6	4	4	4	2			50	5.1%
Class Action	5	2	3	2		1	1	3					17	1.7%
Personal Conduct	1			1	1								3	0.3%
Criminal Law	9	6	14	9	6	7	6	8	7	6			78	8.0%
Debtor / Creditor	2				1	3	1						7	0.7%
Escrow Holder	1	1					1		3	1			7	0.7%
Estate Plan / Probate	8	6	8	3	7	7	3		2				44	4.5%
Family Law	3	10	17	1	4	6	7	6	4	7			65	6.6%
Healthcare Enroll.														
Immigration Law	8	12	5	10	7	9	7	9	7	7			81	8.3%
Intellectual Property		1		1						1			3	0.3%
Landlord / Tenant	1	1	1		1					1			5	0.5%
Litigation / Gen. Civil	7	14	9	10	8	11	21	81	35	13			209	21.3%
Loan Mod / Foreclose	30	30	25	36	23	17	32	38	33	28			292	29.8%
Other		1		21		2		9	1	1			35	3.6%
Personal Injury	7	6	5	5	2	5	2	8	12	7			59	6.0%
Tax		1	1	1	1	1			2	1			8	0.8%
Transactional	1	1	1	1	2	3	1			1			11	1.1%
Worker's Comp			2	1				1					4	0.4%
TOTAL:	88	98	99	109	67	78	86	167	111	77			980	100%

CLIENT SECURITY FUND
NEW APPLICATIONS BY AREA OF LAW

October 2016

<u>AREA OF PRACTICE</u>	<u>#APPLICATIONS</u>	<u>#NEW RESPONDENTS</u>
ADA Law/Disability Access Claims		
Administrative/Government	1	
Arbitrators/Mediators		
Bankruptcy Law	2	1
Class Action		
Personal Conduct (not in specific area)		
Criminal Law	6	3
Debtor/Creditor		
Escrow Holder	1	1
Estate Planning/Probate		
Family Law	7	2
Healthcare Enrollment		
Immigration Law	7	3
Intellectual Property	1	1
Landlord/Tenant Law	1	
Litigation/General Civil Claims	13	2
Loan Modification/Foreclosure	28	1
Other	1	1
Personal Injury	7	1
Tax Law	1	
Transactional Law	1	
Worker's Compensation Law		
TOTAL	77	16