

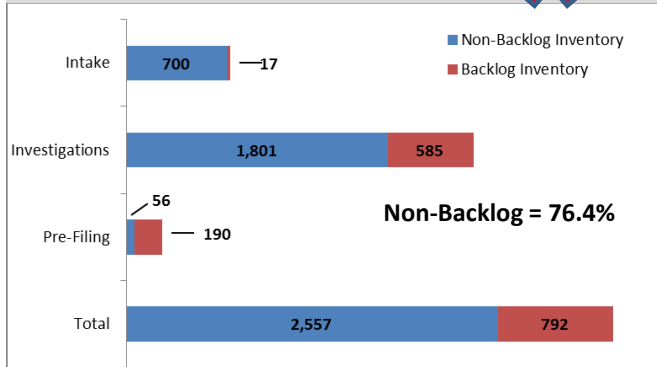
Simplified Metrics for the California State Bar: 1

Office of the Chief Trial Counsel and Client Security Fund

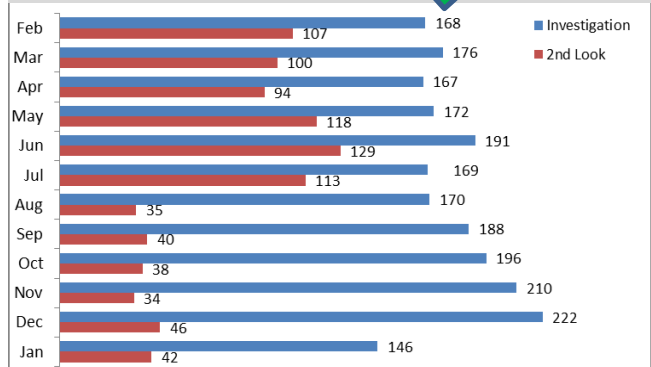
Through January 31, 2017

Office of the Chief Trial Counsel

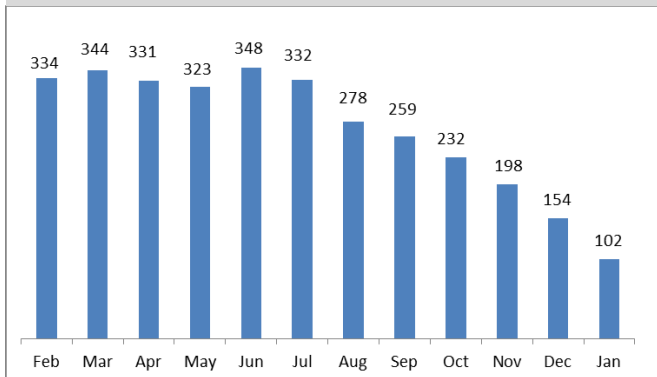
Backlog = 23.6%



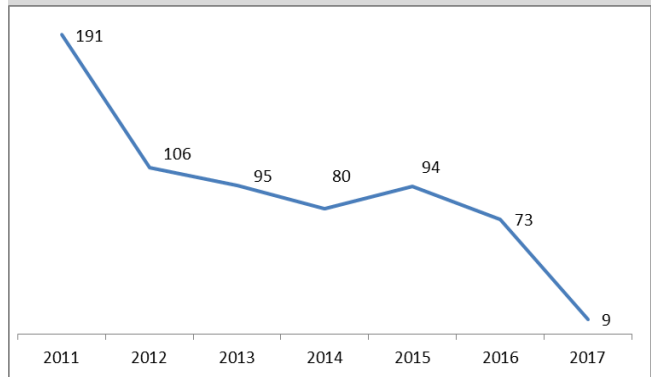
Median Time (Days)



Second Look Inventory

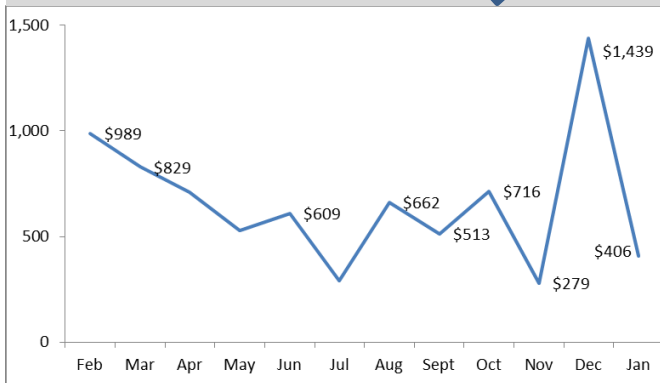


Walker Activity

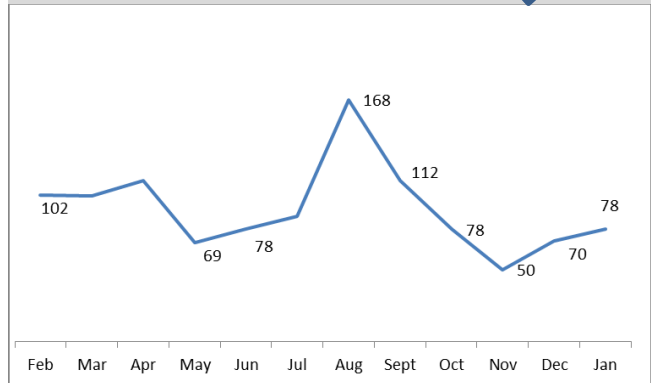


Client Security Fund

CSF Payouts – (\$1,000s)

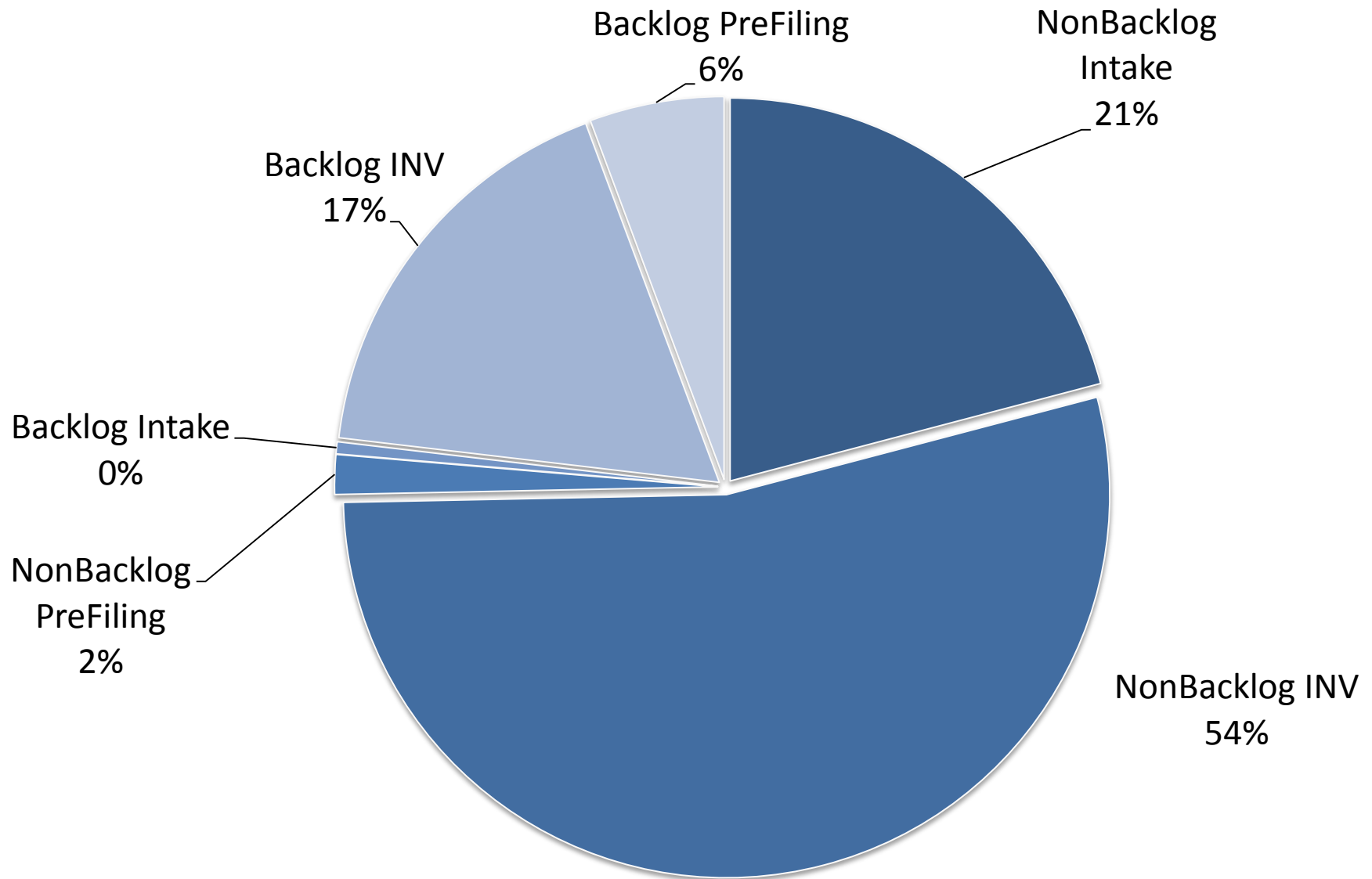


CSF Applications Received



- Effective July 1, 2016, responsibility for new Audit & Review cases was transferred to the Office of General Counsel. Numbers reported for case processing, disposition times, and age of Audit & Review Inventory reflect combined data from the Office of Chief Trial Counsel and Office of General Counsel beginning with the July numbers.
- OCTC reports are based on information produced at the end of each month. Differences in how the data are compiled may cause small variances between these figures and corresponding figures in the State Bar's Annual Discipline Report.

Active Complaint Inventory: January 2017²



Active & Suspended Complaint Inventories Combined Backlog

	2016											2017
	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan
Suspended	988	938	984	1,019	999	862	799	803	751	778	780	770
Active	602	639	606	640	673	632	707	705	750	758	634	792
Intake	45	53	44	47	45	40	43	41	30	21	17	17
Inv	391	401	392	417	424	403	461	463	503	515	418	585
Pre-Filing	166	185	170	176	204	189	203	201	217	222	199	190
TOTAL	1,590	1,577	1,590	1,659	1,672	1,494	1,506	1,508	1,501	1,536	1,414	1,562

** To align with the Annual Discipline Report, the backlog calculation changed from 183 to 180 days on the OCTC Month-End Report beginning with the September 2016 report. Previous months have been modified to reflect this change.

Suspended Inventory: OCTC suspends work on cases involving respondents who are likely to be disbarred in other proceedings already pending before the State Bar Court or the California Supreme Court and where circumstances exist warranting abatement, pursuant to Rules of the State Bar, rules 5.50-5.52. The majority of respondents in the suspended inventory have been placed on inactive enrollment and, therefore, are not currently entitled to practice law.

Active Complaint Inventory - Investigations & Pre-filing (NTS) Stages

Backlog by Case Initiation Type

	2016											2017
	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan
INV Backlog**	391	401	392	417	424	403	461	463	503	515	418	585
Non-Backlog	1,211	1,444	1,657	1,874	2,091	2,129	2,212	2,142	1,955	1,845	1,839	1,801
Backlog Complainant	331	338	333	359	371	341	393	402	436	445	357	514
Backlog SBI	49	41	36	35	34	33	35	32	34	32	23	26
Backlog RA	11	22	23	23	19	29	33	29	33	38	38	45
<u>TOTAL</u>	<u>1,602</u>	<u>1,845</u>	<u>2,049</u>	<u>2,291</u>	<u>2,515</u>	<u>2,532</u>	<u>2,673</u>	<u>2,605</u>	<u>2,458</u>	<u>2,360</u>	<u>2,257</u>	<u>2,386</u>
Backlog %	24%	22%	19%	18%	17%	16%	17%	18%	20%	22%	19%	25%
NTS Backlog**	166	185	170	176	204	189	203	201	217	222	199	190
Non-Backlog	55	49	57	57	59	72	77	75	77	69	56	56
Backlog Complainant	92	113	107	120	146	140	146	143	161	169	155	144
Backlog SBI	44	41	30	18	19	15	10	14	15	16	15	16
Backlog RA	24	23	26	26	33	28	33	34	33	29	22	25
Backlog Probation Case	5	7	6	11	5	3	9	7	5	4	2	2
Backlog J Case	1	1	1	1	1	3	5	3	3	4	5	3
<u>TOTAL</u>	<u>221</u>	<u>234</u>	<u>227</u>	<u>233</u>	<u>263</u>	<u>261</u>	<u>280</u>	<u>276</u>	<u>294</u>	<u>291</u>	<u>255</u>	<u>246</u>
Backlog %	75%	79%	75%	76%	78%	72%	73%	73%	74%	76%	78%	77%

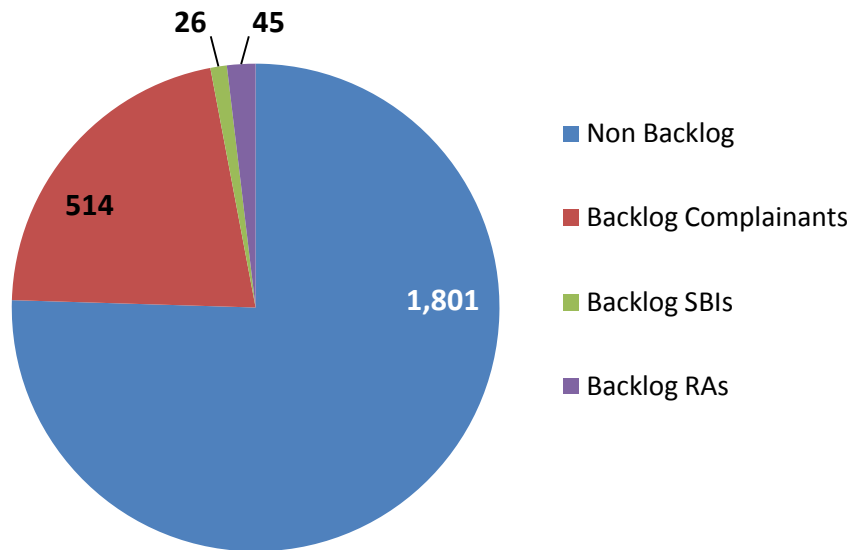
** To align with the Annual Discipline Report, the backlog calculation changed from 183 to 180 days on the OCTC Month-End Report beginning with the September 2016 report. Previous months have been modified to reflect this change.

Pursuant to statute, the Annual Discipline Report (ADR) provides a snapshot of the status of matters on December 31 of each year; OCTC reports are based on information as produced during each month of the calendar year. Due to differences in how the data is compiled, small variances may exist between figures in the ADR and corresponding figures in the monthly reports. Staff is working to develop a unified reporting process for monthly and annual reporting purposes to eliminate such differences.

Active Complaint Inventory - Investigations & Pre-filing (NTS) Stages

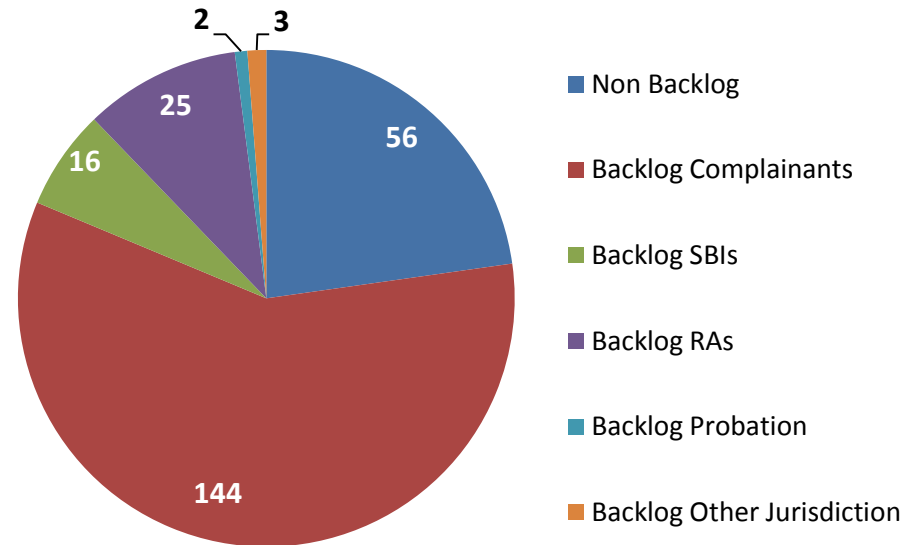
Backlog Percentage by Case Initiation Type

Active Investigation Stage



INV Backlog: 25% of inventory
(585 out of 2,386 active investigations)

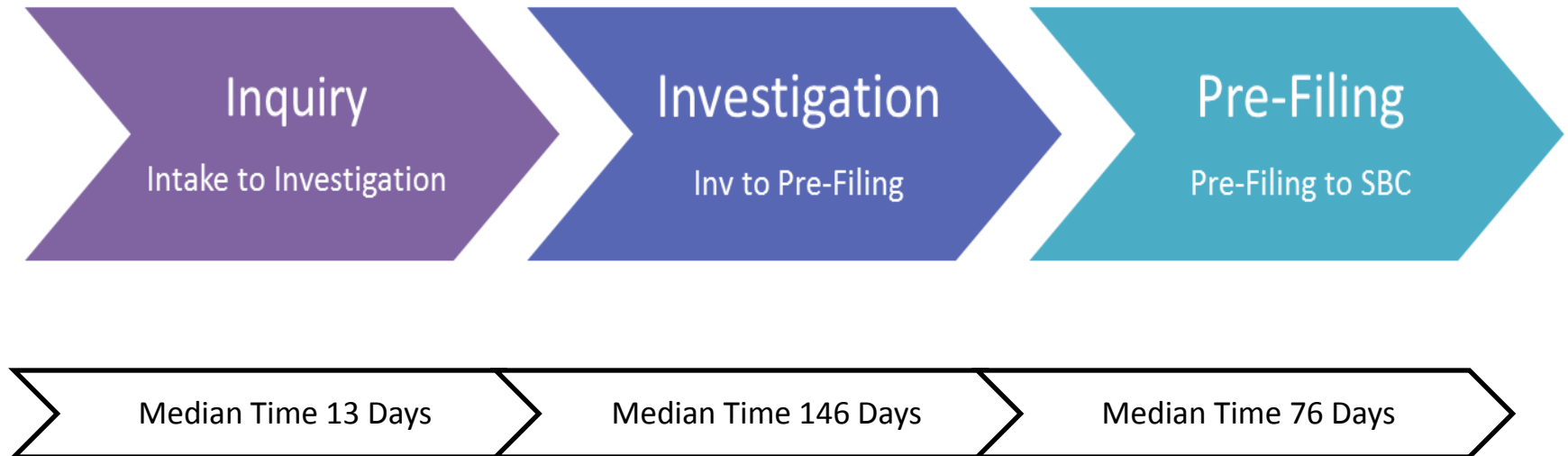
Active Pre-Filing (NTS) Stage



Pre-Filing Backlog: 77% of inventory
(190 out of 246 active pre-filing)

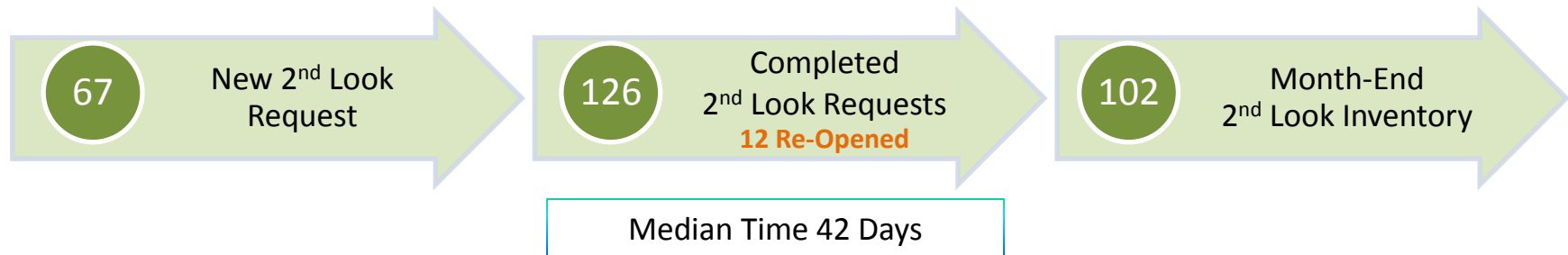
Speed of Forwarding Complaints

January 2017



OCTC's calculation of median time is based upon existing data at the time of this report.
Finalization of SBC data may result in some variance from OCTC's calculation.

Second Look Inventory: January 2017



		Month-End Inventory
2016	February	334
	March	344
	April	331
	May	323
	June	348
	July	332
	August	278
	September	259
	October	232
	November	198
	December	154
2017	January	102

Effective July 1, 2016, responsibility for new Audit & Review cases was transferred to the Office of General Counsel. Numbers reported for case processing, disposition times, and age of Audit & Review Inventory reflect combined data from the Office of Chief Trial Counsel and Office of General Counsel beginning with the July numbers.

Walker Petitions

Walker Petitions Served on OGC						
	2012	2013	2014	2015	2016	2017
Jan	8	18	5	7	7	9
Feb	17	4	3	8	8	
Mar	5	6	5	10	2	
Apr	8	11	6	9	3	
May	10	9	2	9	7	
Jun	11	5	6	7	5	
Jul	3	10	6	10	7	
Aug	9	5	7	7	5	
Sep	5	3	12	9	8	
Oct	4	13	7	4	3	
Nov	10	6	9	3	11	
Dec	16	5	13	9	7	
Total	106	95	81	92	73	9

Walker Petitions Granted						
	2012	2013	2014	2015	2016	2017
Jan	0	0	0	0	0	0
Feb	0	0	0	0	0	
Mar	0	2	0	0	0	
Apr	0	0	0	0	0	
May	1	0	0	1	0	
Jun	0	0	0	0	0	
Jul	1	0	0	0	0	
Aug	0	0	0	0	0	
Sep	0	0	0	1	0	
Oct	0	0	0	0	0	
Nov	0	0	0	0	0	
Dec	0	0	0	0	0	
Total	2	2	0	2	0	0

OCTC Month-End Report for January 2017

INQUIRY/INTAKE BACKLOG**												
2016												2017
<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>		<u>Jan</u>
45	53	44	47	45	40	43	41	30	21	17		17
INVESTIGATIONS BACKLOG - ACTIVE CASES**												
2016												2017
<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>		<u>Jan</u>
Complaint by Complainant	320	325	319	342	357	328	381	390	424	427	336	490
State Bar Initiated (SBI)	45	37	32	31	32	32	34	31	30	28	19	22
Reportable Action Matter	11	22	23	23	19	29	32	28	32	37	37	44
Outside Examiner Assigned	15	17	18	21	16	14	14	14	17	23	26	29
TOTAL	391	401	392	417	424	403	461	463	503	515	418	585
PRE-FILING (NTS) BACKLOG - ACTIVE CASES**												
2016												2017
<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>		<u>Jan</u>
Complaint by Complainant	92	113	107	120	143	136	145	142	160	168	154	143
State Bar Initiated (SBI)	44	41	30	18	17	13	10	14	15	16	15	16
Reportable Action Matter	22	19	22	22	29	24	29	30	29	25	18	21
Probation Referral	5	7	6	11	5	3	9	7	5	4	2	2
J Case (Other Jurisdiction)	1	1	1	1	1	3	5	3	3	4	5	3
Outside Examiner Assigned	2	4	4	4	9	10	5	5	5	5	5	5
TOTAL	166	185	170	176	204	189	203	201	217	222	199	190
SUSPENDED BACKLOG - SUSPENDED CASES**												
2016												2017
<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>		<u>Jan</u>
988	938	984	1,019	999	862	799	803	751	778	780		770
TOTAL BACKLOG - ACTIVE AND SUSPENDED CASES**												
2016												2017
<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>		<u>Jan</u>
Suspended	988	938	984	1,019	999	862	799	803	751	778	780	770
Active	602	639	606	640	673	632	707	705	750	758	634	792
TOTAL	1,590	1,577	1,590	1,659	1,672	1,494	1,506	1,508	1,501	1,536	1,414	1,562

Pursuant to statute, the State Bar's Annual Discipline Report (ADR) provides a snapshot of the status of matters on December 31 of each year; OCTC reports are based on information as produced during each month of the calendar year. Due to differences in how the data is compiled, small variances may exist between the figures in the ADR and corresponding figures in the monthly reports. Staff is working to develop a unified reporting process for monthly and annual reporting purposes to eliminate such differences.

OCTC Month-End Report for January 2017

NUMBER OF INV BACKLOG CASES INVOLVING RESPONDENT WITH OTHER PENDING MATTER**

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
	197	205	200	208	202	182	216	231	266	284	235	317

NUMBER OF NTS BACKLOG CASES INVOLVING RESPONDENT WITH OTHER PENDING MATTER**

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
	86	99	103	115	146	133	134	130	142	146	123	115

** To align the OCTC monthly RAD reports with the Annual Discipline Report, effective September, 2016, the backlog calculation was changed from 183 days to 180 days. Backlog data for January through August 2016 have also been recalculated to reflect this change.

SUSPENDED INVENTORY

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Default in other matter	157	151	193	236	221	310	302	310	233	210	205	198
Anticipated disbarment in other matter	317	332	352	349	356	226	219	208	202	226	235	234
Disbarment recommendation	392	338	360	361	318	156	110	118	98	105	88	75
Overlapping litigation	136	132	134	136	141	143	131	133	180	181	182	177
Inactive Enrollment Order (6007 order)	135	133	137	134	141	141	147	151	151	155	157	161
TOTAL	1,137	1,086	1,176	1,216	1,177	976	909	920	864	877	867	845

RESPONDENTS IN THE SUSPENDED INVENTORY

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Number of Respondents (Total)	188	179	188	195	196	180	180	184	180	180	177	171
Number of Respondents on Inactive Status	144	138	139	145	145	128	127	128	117	116	108	107

AVERAGE AND MEDIAN DAYS SUSPENDED

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Average	417	235	684	585	305	278	730	197	348	564	179	223
Median	272	123	307	197	210	227	790	154	253	393	99	126

OCTC Month-End Report for January 2017

INTAKE INVENTORY	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
TOTAL	1,434	1,394	1,207	958	741	793	825	864	856	747	763	717

NEW INQUIRIES	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Complaint by Complainant	1,013	1,046	1,036	1,068	975	1,013	1,069	1,063	967	862	873	971
State Bar Initiated (SBI)	41	49	28	20	35	22	127	132	23	20	31	29
Reportable Action Matter	193	252	208	195	221	209	247	188	182	188	226	259
TOTAL	1,247	1,347	1,272	1,283	1,231	1,244	1,443	1,383	1,172	1,070	1,130	1,259

INTAKE WORKFLOW	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Closed	836	822	907	923	866	760	833	943	869	805	743	874
Forwarded to other stage	327	580	584	649	615	475	619	427	342	405	409	471
TOTAL	1,163	1,402	1,491	1,572	1,481	1,235	1,452	1,370	1,211	1,210	1,152	1,345

AVERAGE AND MEDIAN DAYS FROM INTAKE TO INVESTIGATIONS

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Average	26	22	28	21	18	21	21	16	22	25	27	25
Median	15	19	16	15	14	14	15	13	15	14	14	13

CALL CENTER CALLS RECEIVED	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Calls to the Complaint Hotline	3,552	3,668	3,519	3,276	3,493	3,074	3,817	3,503	3,202	3,137	2,610	3,018
Calls to the Immigration Hotline	12	12	22	25	9	48	42	24	11	14	17	30
TOTAL	3,564	3,680	3,541	3,301	3,502	3,122	3,859	3,527	3,213	3,151	2,627	3,048

OCTC Month-End Report for January 2017

INVESTIGATIONS INVENTORY	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Complaint by Complainant	1,372	1,605	1,824	2,048	2,251	2,252	2,397	2,327	2,200	2,124	2,043	2,170
State Bar Initiated (SBI)	104	121	111	105	110	109	119	124	114	105	93	92
Reportable Action Matter	126	119	114	138	154	171	157	154	144	131	121	124
TOTAL	1,602	1,845	2,049	2,291	2,515	2,532	2,673	2,605	2,458	2,360	2,257	2,386

INVESTIGATIONS WORKFLOW	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Closed	215	217	215	266	280	336	380	378	389	401	402	248
Forwarded to Pre-Filing (NTS)	37	50	51	47	56	56	61	71	68	70	85	22
Suspended	43	59	93	69	58	63	40	49	29	26	29	16
TOTAL	295	326	359	382	394	455	481	498	486	497	516	286

AVERAGE AND MEDIAN DAYS FROM INVESTIGATIONS TO PRE-FILING (NTS)												
	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Average	191	177	189	269	302	272	196	219	232	257	269	159
Median	168	176	167	172	191	169	170	188	196	210	222	146

ACTIVE INVESTIGATIONS INVENTORY BY AGE	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
0 - 90 Days	614	878	1,105	1,243	1,335	1,256	1,243	1,131	996	859	853	885
91 Days - 6 Months	649	588	554	638	756	884	989	1,011	998	1,002	986	955
6 Months - 1 Year	199	242	249	274	280	283	317	328	332	367	298	397
1 - 2 Years	66	65	66	65	76	79	97	104	99	101	94	118
2 - 3 Years	16	18	21	20	19	17	15	20	24	22	17	19
3 - 4 Years	20	17	14	14	14	7	6	5	4	3	3	5
4 - 5 Years	30	28	29	28	27	4	4	4	4	5	5	5
Over 5 Years	8	9	11	9	8	2	2	2	1	1	1	2

OCTC Month-End Report for January 2017

PRE-FILING (NTS) INVENTORY												
	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Complaint by Complainant	100	120	118	129	155	160	167	158	175	184	159	152
State Bar Initiated (SBI)	45	41	33	21	20	19	14	17	20	16	18	19
Reportable Action Matter	27	24	29	32	37	32	36	40	38	33	30	26
Probation Referrals	42	42	39	41	35	31	40	38	39	34	26	26
J Case (Other Jurisdiction)	7	7	8	10	16	19	23	23	22	24	22	23
TOTAL	221	234	227	233	263	261	280	276	294	291	255	246

PRE-FILING (NTS) WORKFLOW												
	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Closed	6	12	12	4	3	9	9	13	10	14	19	10
Forwarded to another stage	53	32	53	35	38	50	49	64	43	64	112	27
Suspended	10	0	11	9	3	10	7	7	17	4	3	3
TOTAL	69	44	76	48	44	69	65	84	70	82	134	40

AVERAGE AND MEDIAN DAYS PRE-FILING (NTS) TO FORMAL FILING

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Average	86	77	126	119	123	109	88	99	101	113	127	95
Median	78	67	96	108	110	90	55	83	64	82	83	76

PRE-FILING (NTS) INVENTORY BY AGE												
	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
0 - 90 Days	26	30	27	22	27	33	42	36	39	27	26	25
91 Days - 6 Months	43	20	35	37	32	40	37	39	42	43	30	33
6 Months - 1 Year	106	125	106	110	133	121	132	120	126	126	117	97
1 - 2 Years	33	47	50	51	55	51	56	69	74	76	61	72
2 - 3 Years	10	8	7	8	7	8	9	10	8	12	15	12
3 - 4 Years	3	4	2	3	4	4	2	2	5	6	5	6
4 - 5 Years	0	0	0	1	2	0	0	0	0	1	1	1
Over 5 Years	0	0	0	1	3	4	2	0	0	0	0	0

OCTC Month-End Report for January 2017

FORMAL FILINGS (NEW CASES INITIATED) IN HEARING DEPARTMENT: INFLOW

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
By Notice of Disciplinary Charge	48	28	43	21	20	47	44	57	38	55	102	16
By Stipulation	5	6	13	15	18	4	5	7	5	9	11	13
By Conviction Transmittal	12	8	2	14	14	12	9	3	4	13	14	3
By Inactive Enrollment Proceeding	1	1	0	2	3	2	1	2	0	1	0	2
By Reinstatement Petition	0	0	1	2	1	0	1	1	0	1	1	0
By Moral Character Appeal	0	0	0	2	0	0	0	0	1	2	0	0
TOTAL	66	43	59	56	56	65	60	70	48	81	128	34

DISCIPLINE DECISION OR ORDER BY HEARING DEPARTMENT: OUTFLOW

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Discipline Recommendation: Trial Decision	2	4	7	7	5	7	11	3	15	10	1	10
Discipline Recommendation: Stipulation	21	22	25	31	29	25	23	9	31	13	21	30
Discipline Recommendation: ADP Decision	0	7	0	0	4	8	0	5	0	4	3	0
Discipline Recommendation: Default Decision	7	11	24	8	11	2	13	16	20	2	9	12
Dismissal: By Trial Decision	0	0	0	1	0	0	1	0	0	0	0	0
Dismissal: OCTC Motion	2 ^[1]	1	2	2	1	3	0	2	2	1	1	2
Admonition*	0	0	0	0	0	1	2	0	1	0	0	0
TOTAL	32	45	58	49	50	46	50	35	69	30	35	54

* An admonition is not discipline.

^[1] One case was a Motion to Dismiss made by Respondent under Rule 5124 (Grounds for Dismissal) (C) (Defective Initial Pleading) and (F) (Proceeding Barred by Statute or Rule).

NON-DISCIPLINARY DECISION OR ORDER BY HEARING DEPARTMENT: OUTFLOW

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Moral Character Appeals – GRANTED	0	0	0	0	0	0	0	0	0	0	0	0
Moral Character Appeals – DENIED	0	0	0	0	0	0	0	0	0	0	0	0
Reinstatement Petitions – GRANTED	0	0	0	1	0	0	0	0	0	0	0	0
Reinstatement Petitions – DENIED	0	0	0	0	0	0	0	0	0	0	0	0
Inactive Enrollment Proceedings – GRANTED	4	0	0	1	4	0	1	1	1	0	0	0
Inactive Enrollment Proceedings – DENIED	0	0	0	0	0	0	0	1	0	0	0	0

Moral Character appeals are filed by the applicant with OCTC representing the Committee of Bar Examiners in opposition. "Granted" refers to a State Bar Court decision granting the applicant's appeal. "Denied" refers to a State Bar Court decision denying the applicant's appeal.

Reinstatement petitions are filed by disbarred or resigned attorneys seeking reinstatement to the practice of law. "Granted" refers to a State Bar Court decision granting the petition and recommending the attorney's reinstatement. "Denied" refers to a State Bar Court decision denying the attorney's reinstatement to the practice of law.

Inactive enrollment proceedings are brought pursuant to Business and Professions Code section 6007. "Granted" refers to a State Bar Court order granting the application and placing the attorney on inactive status. "Denied" refers to a State Bar Court order denying the application.

OCTC Month-End Report for January 2017

PLENARY REVIEW OPINION BY REVIEW DEPARTMENT: OUTFLOW*

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Respondent's Appeal Hearing Dept. Rec. Affirmed By Respondent	2	0	0	3	2	2	0	2	5	2	0	2
Respondent's Appeal Hearing Dept. Rec. Affirmed By Complaint	35	0	0	4	3	3	0	6	6	2	0	2
Respondent's Appeal Hearing Dept. Rec. Modified By Respondent	0	0	0	1	0	0	1	2	1	1	1	1
Respondent's Appeal Hearing Dept. Rec. Modified By Complaint	0	0	0	1	0	0	3	3	2	1	1	1
OCTC's Appeal Hearing Dept. Rec. Affirmed By Respondent	0	0	0	0	0	1	0	1	0	0	0	1
OCTC's Appeal Hearing Dept. Rec. Affirmed By Complaint	0	0	0	0	0	1	0	2	0	0	0	1
OCTC's Appeal Hearing Dept. Rec. Modified By Respondent	0	3 ^[1]	1	2	1	0	1	2	0	1	2	0
OCTC's Appeal Hearing Dept. Rec. Modified By Complaint	0	3 ^[1]	1	6	4	0	3	3	0	1	2	0

^[1] Total includes one matter in which the Hearing Department had previously recommended non-disciplinary admonition (Feb. 2015). This admonition was reported in the Feb. 2015 report.

* May include cross-appeals by both OCTC and Respondent.

INTERLOCUTORY ORDER BY REVIEW DEPARTMENT: OUTFLOW

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Respondent's Appeal – Denied	0	0	1	0	0	0	0	0	0	0	0	0
Respondent's Appeal – Granted	0	0	0	0	0	0	0	0	0	0	0	0
OCTC's Appeal – Denied	0	0	1	0	0	0	0	0	0	0	0	0
OCTC's Appeal – Granted	0	0	0	0	0	0	0	0	0	0	0	0

OCTC Month-End Report for January 2017

AUDIT & REVIEW SECOND LOOK REQUESTS	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul*</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Requests Received	91	97	74	76	87	96	76	75	94	64	77	67
Requests Resolved	43	87	87	84	62	112	134	95	122	112	130	126
Affirmed (Remains Closed)	37	84	82	80	59	104	122	91	110	107	110	114
Reopened (for Investigation)	6	3	5	4	3	8	12	4	12	5	20	12
Month End Inventory	334	344	331	323	348	332	278	259	232	198	154	102

AVERAGE AND MEDIAN DAYS AUDIT & REVIEW SECOND LOOK REQUESTS

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul*</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Average	131	130	105	146	140	93	76	70	73	86	107	93
Median	107	100	94	118	129	113	35	40	38	34	46	42

AUDIT & REVIEW INVENTORY BY AGE

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul*</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
0 - 90 Days	254	249	227	230	220	211	127	74	59	64	76	62
91 Days - 6 Months	69	78	87	76	110	108	141	169	140	66	0	0
6 Months - 1 Year	11	16	15	17	18	13	9	15	32	67	77	39
1 - 2 Years	0	1	2	0	0	0	1	1	1	1	1	1
2 - 3 Years	0	0	0	0	0	0	0	0	0	0	0	0
3 - 4 Years	0	0	0	0	0	0	0	0	0	0	0	0
4 - 5 Years	0	0	0	0	0	0	0	0	0	0	0	0
Over 5 Years	0	0	0	0	0	0	0	0	0	0	0	0

WALKER PETITIONS

	2016											2017
	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>
Walker Petitions Served on OGC	8	2	3	7	5	7	5	8	3	11	7	9
Walker Petitions Granted	0	0	0	0	0	0	0	0	0	0	0	0

Effective July 1, 2016, responsibility for new Audit & Review cases was transferred to the Office of General Counsel. Numbers reported for case processing, disposition times, and age of Audit & Review Inventory reflect combined data from the Office of Chief Trial Counsel and Office of General Counsel beginning with the July numbers.

State Bar Court Performance Measures

Caseload Clearance

2017	Disciplinary			Regulatory			Total		
	Cases Filed	Cases Closed	Percent Cleared	Cases Filed	Cases Closed	Percent Cleared	Cases Filed	Cases Closed	Percent Cleared
January	21	40	190%	4	1	25%	25	41	164%
February									
March									
April									
May									
June									
July									
August									
September									
October									
November									
December									
YTD	21	40	190%	4	1	25%	25	41	164%
2016 Total	541	644	119%	81	88	109%	622	732	118%
2015 Total	498	701	141%	79	84	106%	577	785	136%
2014 Total	745	665	89%	82	79	96%	827	744	90%
2013 Total	758	673	89%	73	74	101%	831	747	90%
2012 Total	749	645	86%	82	87	106%	831	732	88%
2011 Total	891	1092	123%	71	122	172%	962	1214	126%

California Supreme Court Interim Dispositions

	2009	2010	2011	2012	2013	2014	2015	2016	2017
Grant Writ of Review	0	0	1	1	0	0	0	0	0
Remand for Hearing	1	0	0	54	2	0	0	0	0
Total	1	0	1	55	2	0	0	0	0

2017	Hearing Department			Review Department		
	Trials Commenced	Within Timeline	Percent Within Timeline	Oral Arguments Commenced	Within Timeline	Percent Within Timeline
January	19	11	58%	2	2	100%
February						
March						
April						
May						
June						
July						
August						
September						
October						
November						
December						
YTD	19	11	58%	2	2	100%
2016 Total	98	57	58%	41	21	51%
2015 Total	139	51	37%	59	3	5%
2014 Total	146	55	38%	43	1	2%
2013 Total	242	111	46%	41	41	100%
2012 Total	176	100	57%	29	29	100%
2011 Total	101	82	81%	31	31	100%

On Time Case Processing

2017	Hearing Department			Review Department		
	Cases Closed	Within Timeline	Percent Within Timeline	Cases Closed	Within Timeline	Percent Within Timeline
January	46	40	87%	2	2	100%
February						
March						
April						
May						
June						
July						
August						
September						
October						
November						
December						
YTD	46	40	87%	2	2	100%
2016 Total	701	547	78%	77	26	34%
2015 Total	747	603	81%	64	19	30%
2014 Total	698	574	82%	58	50	86%
2013 Total	700	579	83%	62	61	98%
2012 Total	678	637	94%	30	30	100%
2011 Total	1081	958	89%	65	65	100%

Hearing Department Monthly Dispositions

19

2017	Total	Trials	Stipulations	ADP	Defaults	Dismissals	Other Orders
January	45	7	23	0	11	4	0
February							
March							
April							
May							
June							
July							
August							
September							
October							
November							
December							
YTD	45	7	23	0	11	4	0
2016 Total	537	98	227	38	67	75	32
2015 Total	678	137	292	29	122	73	25
2014 Total	732	138	369	16	100	71	38
2013 Total	800	238	328	21	96	93	24
2012 Total	710	143	362	10	99	66	30
2011 Total	970	95	609	83	69	91	23

Review Department Monthly Dispositions

2017	Requests for Review			Resignations with Charges Pending		Conviction transmittals/referrals		
	Filed		Disposed	Filed	Disposed	Filed	Referred to Hearing	Disposed by Review
	Cases	Resp.	Opinions Filed *					
January	2	2	3	1	1	3	10	0
February								
March								
April								
May								
June								
July								
August								
September								
October								
November								
December								
YTD	2	2	3	1	1	3	10	0
2016 Total	35	32	38	17	43	115	82	36
2015 Total	52	44	45	27	51	106	80	40
2014 Total	69	56	38	18	30	150	117	31
2013 Total	103	86	32	20	25	140	102	25
2012 Total	59	46	23	15	42	129	88	32
2011 Total	51	45	26	19	76	144	114	46

* Consolidated cases counted as one opinion filed; some respondents have multiple cases.

Monthly Statistical Report – Office of Probation

January 2017

Total Open Files in Office of Probation:	Total Number: 817
Rule 9.20	159
Alternative Discipline Program (ADP)	7
Other (orders re costs, 6007(h), etc.)	2
Probation/Suspension	574
Reproval	75

Opened Files YTD:	Total Number: 40
Rule 9.20	20
ADP	2
Other	0
Probation/Suspension	13
Reproval	5

Opened Files this Month:	Total Number: 40
Rule 9.20	20
ADP	2
Other	0
Probation/Suspension	13
Reproval	5

Files Closed YTD:	Total Number: 29
Rule 9.20	19
ADP	0
Other	0
Probation/Suspension	10
Reproval	0

Files Closed this Month:	Total Number: 29
Rule 9.20	19
ADP	0
Other	0
Probation/Suspension	10
Reproval	0

Probation Revocation Proceedings:	
Motions filed this month:	0
Motions filed YTD:	0
Motions for Modification & MPRE:	
Motions filed this month:	9
Motions filed YTD:	9

Referrals to Office of Chief Trial Counsel YTD:	Total Number: 7
Non-compliance with rule 9.20	5
Non-compliance: “O” proceeding	2
Non-compliance with Reproval	0

Referrals to Office of Chief Trial Counsel this month:	Total Number: 7
Non-compliance with rule 9.20	5
Non-compliance: “O” proceeding	2
Non-compliance with Reproval	0

Prepared by Terrie Goldade, Supervising Attorney

PRELIMINARY REPORT

Data covering period from January 1, 2017 to January 31, 2017

Client Security Fund

The Client Security Fund is designed as a remedy for legal consumers, in addition to, but separate from discipline. Financed by an annual membership assessment, the Client Security Fund reimburses victims up to \$100,000 for losses due to attorney theft or an act equivalent to theft.

Category	Current Month	YTD
Number of applications received	78*	78*
Number of applications paid	101	101
Amount paid	\$405,553	\$405,553
Average Amount Paid	\$4,015	\$4,015
Number of applications denied/terminated	51	51
Number of applications outstanding	4,168	4,168
Number of Staff	8	8
Number of Vacancies	0	0

5-Year CSF Summary of Applications Paid

Year	No. of Applications Paid	Applications Paid in Dollars
2013	1,999	\$11,054,352
2014	1,152	\$9,031,386
2015	821	\$6,012,453
2016	1,793	\$8,037,525
2017	101	\$405,553

* 3 re-opened application for the current month and a total of 3 YTD

CLIENT SECURITY FUND
2017 NEW APPLICATIONS BY AREA OF LAW BY MONTH

[illegible]

**CLIENT SECURITY FUND
NEW APPLICATIONS BY AREA OF LAW**

JANUARY 2017

AREA OF PRACTICE	# OF APPLICATIONS	# OF NEW RESPONDENTS
ADA Law / Disability Access Claims		
Administrative / Government		
Arbitrators / Mediators		
Bankruptcy Law	2	
Class Action		
Personal Conduct (not in specific area)		
Criminal Law	5	2
Debtor / Creditor		
Escrow Holder		
Estate Planning / Probate	15	4
Family Law	3	1
Healthcare Enrollment		
Immigration Law	4	1
Intellectual Property		
Landlord / Tenant Law	1	1
Litigation / General Civil Claims	14	3
Loan Modification / Foreclosure	28	
Other		
Personal Injury	2	
Tax Law		
Transactional Law		
Worker's Compensation Law	1	
TOTAL:	75	12