

# **AGENDA ITEM**

## **III.G. MAY 2017**

**DATE:** May 5, 2017

**TO:** Members, Regulation and Discipline Committee

**FROM:** Dag MacLeod, Director, Office of Research & Institutional Accountability

**SUBJECT:** Proposed Revisions to the Monthly RAD Report

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### **EXECUTIVE SUMMARY**

Bar staff recommend the replacement of three pages of Client Security Fund (CSF) data reported in the monthly reports to the Regulation and Discipline (RAD) Committee with a single page consisting of six graphs and one table. Staff also recommend the elimination of three tables contained in the OCTC month-end report and the revision of two other tables in the same report. Finally, Bar staff provide a timeline for the completion of the revision and replacement of current RAD reports.

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### **BACKGROUND**

At its January, 2017 planning meeting, the Board of Trustees called for Bar staff to synthesize information provided to the Board and its committees. At the March meeting of the Regulation and Discipline Committee (RAD), Bar staff presented a review of the monthly RAD report and recommendations regarding specific tables and graphs contained within the report.

The review concluded that the report:

- contains a considerable amount of redundant information;
- provides information at a level of detail that may be unnecessary and unhelpful;
- fails to utilize graphic presentation of data in a manner that clarifies trends and relationships among data elements;
- lacks standards with regard to format and time-period;
- lacks a glossary or definitions to guide the reader.

To remedy these problems, staff proposed an incremental approach to reviewing and modifying the monthly RAD report. The incremental approach involves a) Bar staff providing revised reports to RAD at in-person meetings and presenting these side-by-side with data that staff propose to eliminate or modify; b) staff adjusting the reports in response to RAD comments and producing the new data side-by-side with the old reports for one more month to allow a final review of the old and new data; c) retiring the old reports and replacing them with the new reports for the following month.

This cycle has already run a single course with the elimination of a number of pages of redundant data related to OCTC and their replacement with the Discipline System Statistical Report (DSSR, attached as Appendix A). Appendix B presents a timeline for the finalization of this work.

## **DISCUSSION**

Client Security Fund (CSF) data are currently presented in the RAD report in three pages attached as Appendix C. These reports will be discussed at the RAD meeting and reviewed alongside page 6 of the April, 2017 DSSR.

OCTC Month-End Reports include five tables that are attached as Appendix D. These reports will be discussed at the RAD meeting and reviewed alongside a revised report to be presented at the meeting.

## **FISCAL/PERSONNEL IMPACT**

Not Applicable

## **BOARD BOOK IMPACT**

Article 1, Section 6

## **BOARD GOALS & OBJECTIVES**

Goal 2: "Insure a timely, fair, and appropriately resourced admissions, discipline and regulatory system for California's over 250,000 lawyers."

Objective 2c: "Develop and implement transparent and accurate reporting and tracking of the health and efficacy of the discipline system, to include ... development of new metrics for measuring the effectiveness of the discipline system including any needed revisions to the statutory backlog metrics."



# The State Bar of California Discipline System Statistical Report April, 2017

Data from April 2016 through April 2017

Produced for the Committee on Regulation & Discipline  
by the Office of Research & Institutional Accountability

# The State Bar of California

## Discipline System Statistical Report

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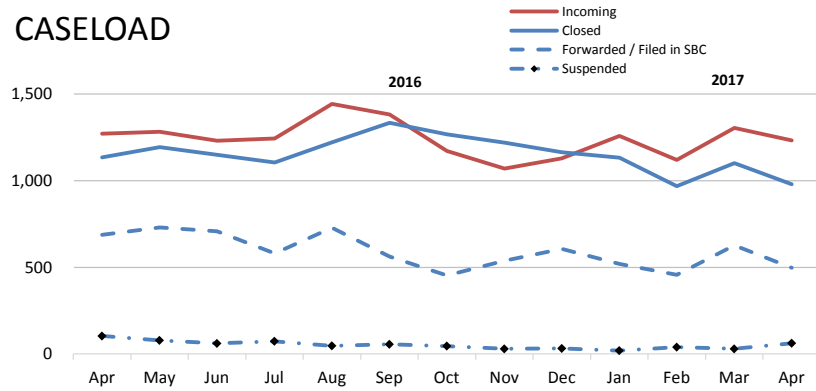
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#### **Section 2 - Client Security Fund**

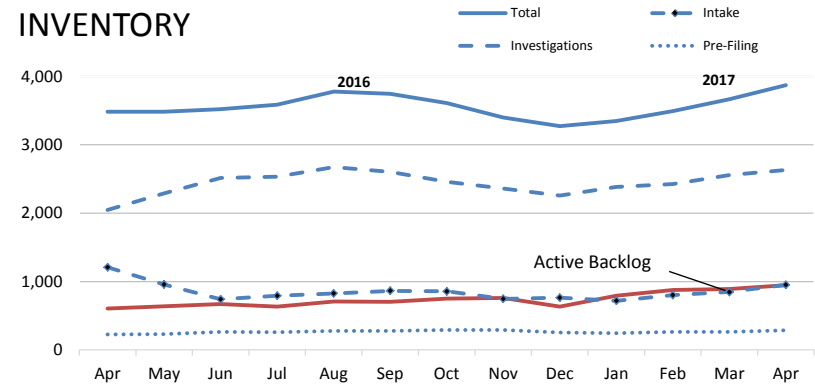
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# OCTC - Caseload and Case Inventory

## CASELOAD

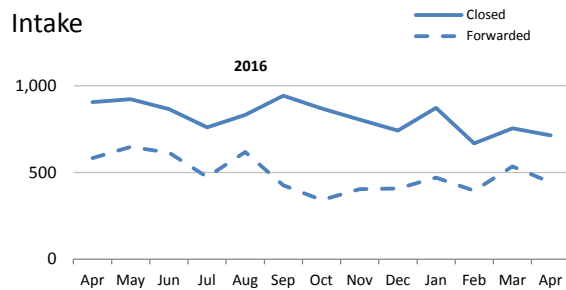


## INVENTORY

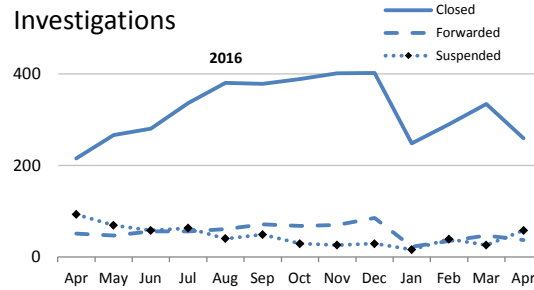


## DISPOSITIONS

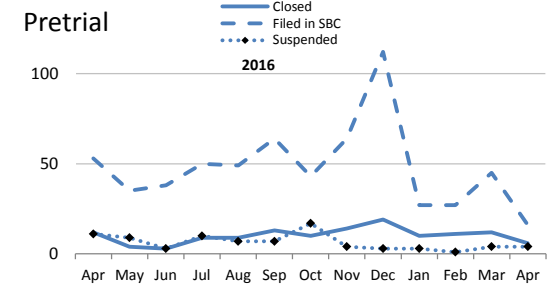
### Intake



### Investigations

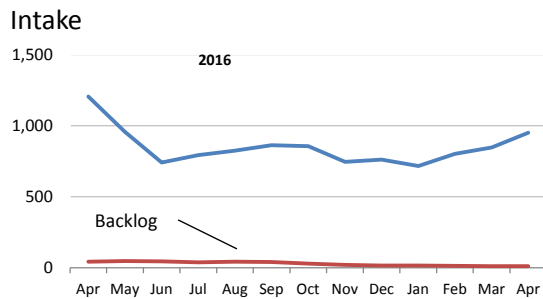


### Pretrial

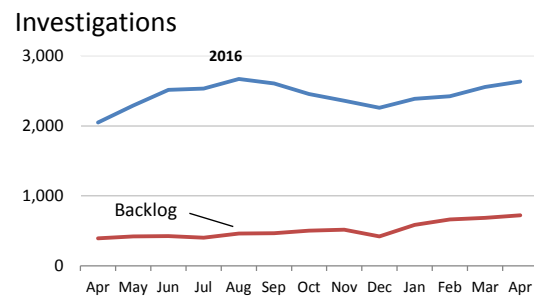


## INVENTORY

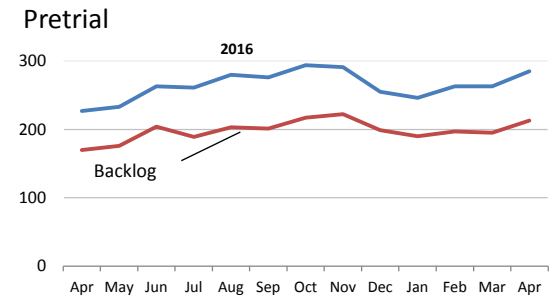
### Intake



### Investigations



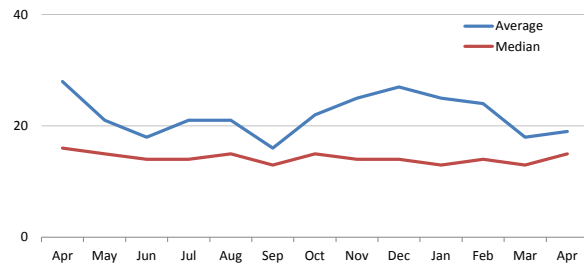
### Pretrial



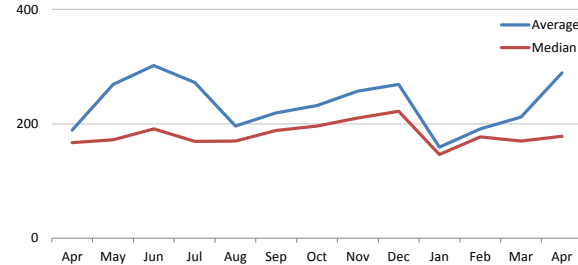
Only active backlog is counted in this report. Suspended backlog is reported separately on the Backlog Report.

# OCTC - Time Measures, 2nd Look Cases, and Walker Petitions

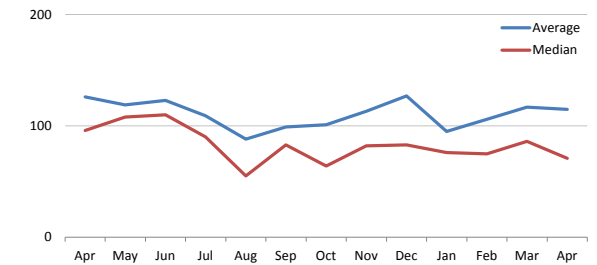
## Days from Intake to Investigation



## Days from Investigation to Pre-Filing

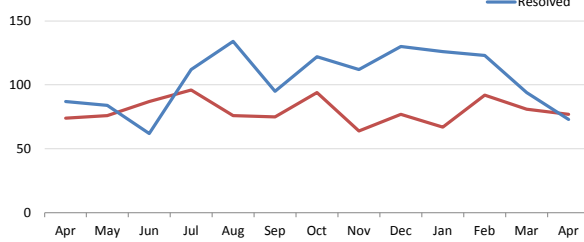


## Days from Pre-Filing to SBC

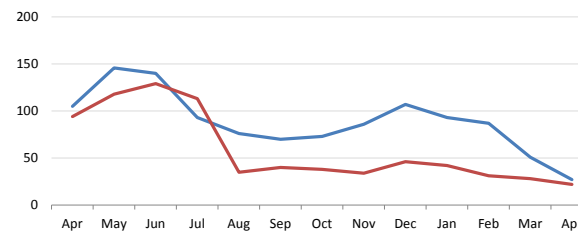


## Second-Look Cases

### 2nd Look - Caseload

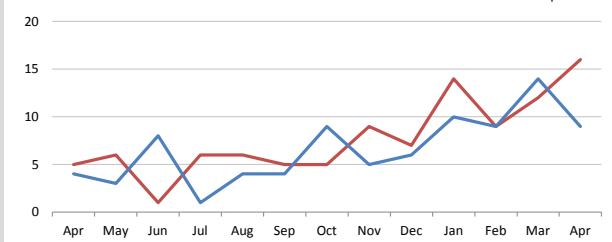


### 2nd Look - Days from Filing to Dispo

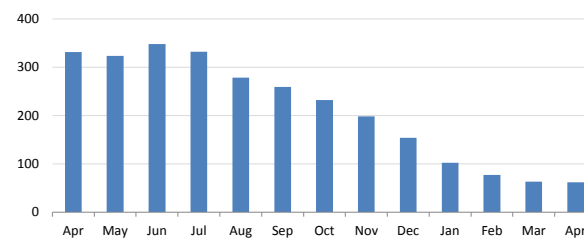


## Walker Petitions

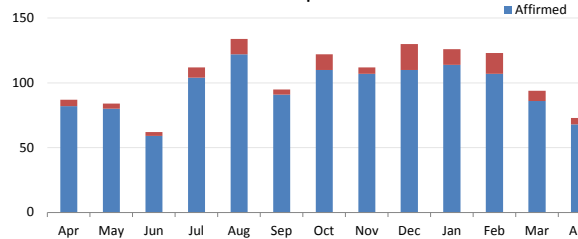
### Supreme Court Caseflow



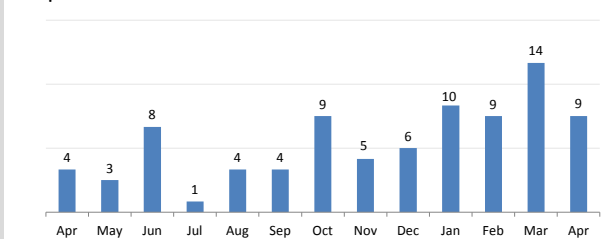
### 2nd Look - Inventory



### 2nd Look - Affirmed & Re-Opened

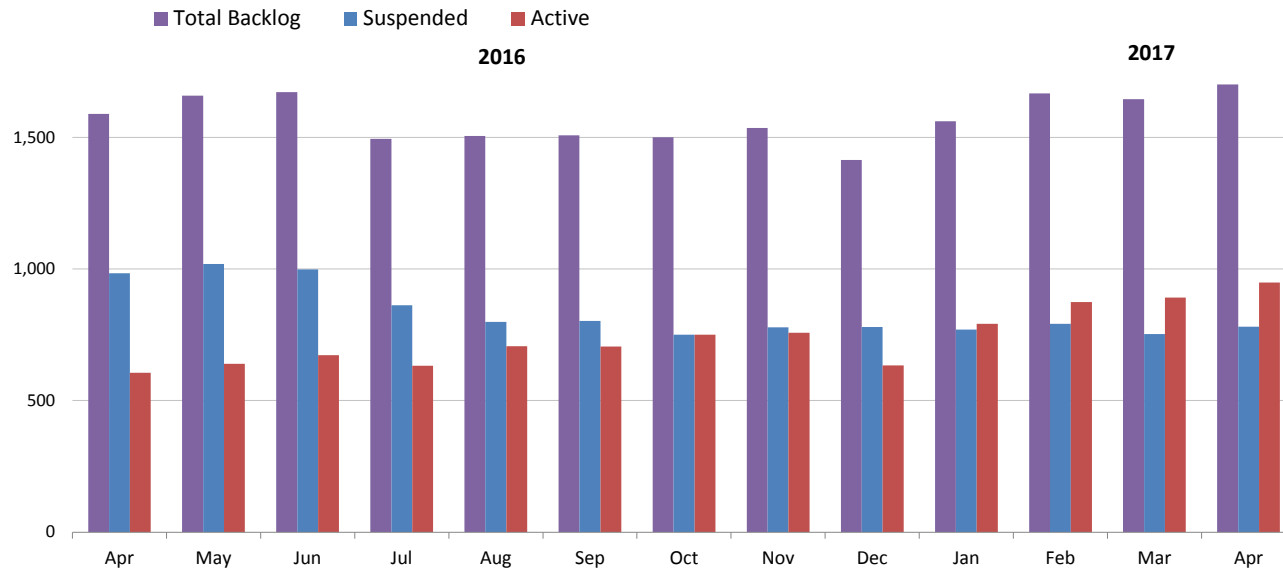


### Supreme Court Decisions



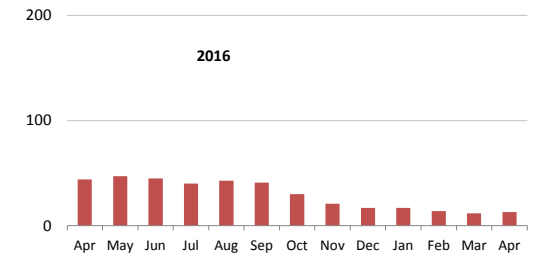
# OCTC - Backlog

## Total Backlog

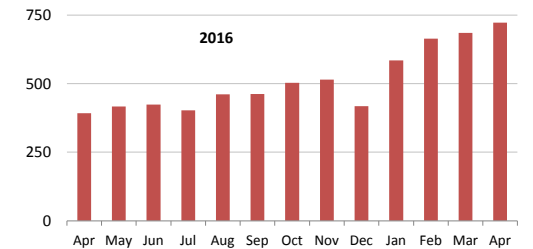


## Active Backlog

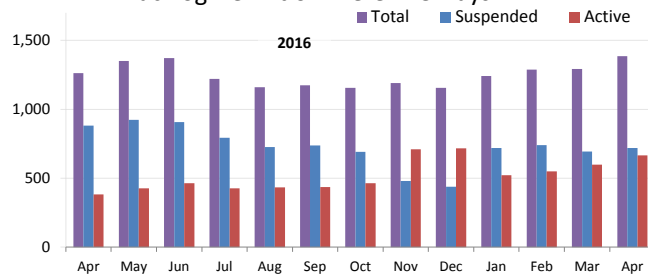
### Intake



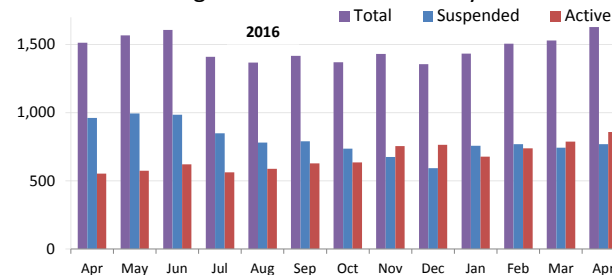
### Investigation



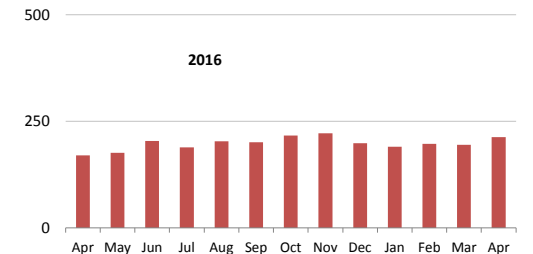
### If Backlog Definition Were 243 Days



### If Backlog Definition Were 197 Days



### Prefiling



Cases are suspended for a number of reasons the most common of which is that the respondent defaulted or is expected to be disbarred in another matter.

# OCTC - Caseload and Case Inventory

## Appendix A

<b>OCTC TOTALS</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Incoming	1,272	1,283	1,231	1,244	1,443	1,383	1,172	1,070	1,130	1,259	1,119	1,305	1,232
Closed	1,134	1,193	1,149	1,105	1,222	1,334	1,268	1,220	1,164	1,132	969	1,101	980
Forwarded / Filed in SBC	688	731	709	581	729	562	453	539	606	520	457	627	497
Suspended	104	78	61	73	47	56	46	30	32	19	40	30	62
Total Inventory	3,483	3,482	3,519	3,586	3,778	3,745	3,608	3,398	3,275	3,349	3,491	3,668	3,870
Total Backlog	1,590	1,659	1,672	1,494	1,506	1,508	1,501	1,536	1,414	1,562	1,667	1,645	1,730
Active Backlog	606	640	673	632	707	705	750	758	634	792	875	892	949
Suspended Backlog	984	1,019	999	862	799	803	751	778	780	770	792	753	781
<b>Active Backlog as % of Inventory</b>	<b>17%</b>	<b>18%</b>	<b>19%</b>	<b>18%</b>	<b>19%</b>	<b>19%</b>	<b>21%</b>	<b>22%</b>	<b>19%</b>	<b>24%</b>	<b>25%</b>	<b>24%</b>	<b>25%</b>
<b>INTAKE</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Intake Incoming	1,272	1,283	1,231	1,244	1,443	1,383	1,172	1,070	1,130	1,259	1,119	1,305	1,232
Complaint by Complainant	1,036	1,068	975	1,013	1,069	1,063	967	862	873	971	978	1,080	987
State Bar Initiated (SBI)	28	20	35	22	127	132	23	20	31	29	14	17	37
Reportable Action Matter	208	195	221	209	247	188	182	188	226	259	127	208	208
Intake Out-Going	1,491	1,572	1,481	1,235	1,452	1,370	1,211	1,210	1,152	1,345	1,063	1,290	1,159
Closed	907	923	866	760	833	943	869	805	743	874	668	755	715
Forwarded	584	649	615	475	619	427	342	405	409	471	395	535	444
Intake Inventory	1,207	958	741	793	825	864	856	747	763	717	802	847	952
Active Backlog	44	47	45	40	43	41	30	21	17	17	14	12	13
<b>Active Backlog as % of Inventory</b>	<b>4%</b>	<b>5%</b>	<b>6%</b>	<b>5%</b>	<b>5%</b>	<b>5%</b>	<b>4%</b>	<b>3%</b>	<b>2%</b>	<b>2%</b>	<b>2%</b>	<b>1%</b>	<b>1%</b>
<b>INVESTIGATIONS</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Investigations Out-Going	359	382	394	455	481	498	486	497	516	286	364	407	354
Closed	215	266	280	336	380	378	389	401	402	248	290	334	259
Forwarded	51	47	56	56	61	71	68	70	85	22	35	47	37
Suspended	93	69	58	63	40	49	29	26	29	16	39	26	58
Investigations Inventory	2,049	2,291	2,515	2,532	2,673	2,605	2,458	2,360	2,257	2,386	2,426	2,558	2,633
Complaint by Complainant	1,824	2,048	2,251	2,252	2,397	2,327	2,200	2,124	2,043	2,170	2,213	2,349	2,425
State Bar Initiated (SBI)	111	105	110	109	119	124	114	105	93	92	92	88	91
Reportable Action Matter	114	138	154	171	157	154	144	131	121	124	121	121	117
Active Backlog	392	417	424	403	461	463	503	515	418	585	664	685	723
<b>Active Backlog as % of Inventory</b>	<b>19%</b>	<b>18%</b>	<b>17%</b>	<b>16%</b>	<b>17%</b>	<b>18%</b>	<b>20%</b>	<b>22%</b>	<b>19%</b>	<b>25%</b>	<b>27%</b>	<b>27%</b>	<b>27%</b>
<b>PRE-FILING (NTS)</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Pre-Filing Out-Going	76	48	44	69	65	84	70	82	134	40	39	61	26
Closed	12	4	3	9	9	13	10	14	19	10	11	12	6
Filed in SBC	53	35	38	50	49	64	43	64	112	27	27	45	16
Suspended	11	9	3	10	7	7	17	4	3	3	1	4	4
Pre-Filing Inventory	227	233	263	261	280	276	294	291	255	246	263	263	285
Complaint by Complainant	118	129	155	160	167	158	175	184	159	152	156	153	167
State Bar Initiated (SBI)	33	21	20	19	14	17	20	16	18	19	15	16	19
Reportable Action Matter	29	32	37	32	36	40	38	33	30	26	29	30	32
Probation Referrals	39	41	35	31	40	38	39	34	26	26	39	37	42
J Case (Other Jurisdiction)	8	10	16	19	23	23	22	24	22	23	24	27	25
Active Backlog	170	176	204	189	203	201	217	222	199	190	197	195	213
<b>Active Backlog as % of Inventory</b>	<b>75%</b>	<b>76%</b>	<b>78%</b>	<b>72%</b>	<b>73%</b>	<b>73%</b>	<b>74%</b>	<b>76%</b>	<b>78%</b>	<b>77%</b>	<b>75%</b>	<b>74%</b>	<b>75%</b>



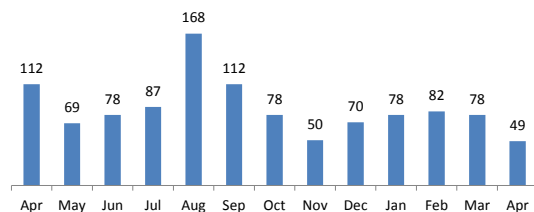
# OCTC - Time Measures, 2nd Look Cases, Walker Petitions, and Backlog

## Appendix A

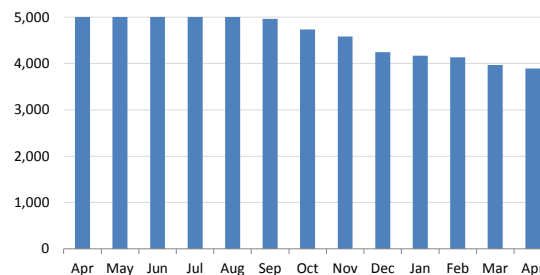
<b>INTAKE - Time to Investigation</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Average Days	28	21	18	21	21	16	22	25	27	25	24	18	19
Median Days	16	15	14	14	15	13	15	14	14	13	14	13	15
<b>INVESTIGATION - Time to Pre-Filing</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Average Days	189	269	302	272	196	219	232	257	269	159	191	212	289
Median Days	167	172	191	169	170	188	196	210	222	146	177	170	178
<b>PRE-FILING - Time to Formal Filing</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Average	126	119	123	109	88	99	101	113	127	95	106	117	115
Median	96	108	110	90	55	83	64	82	83	76	75	86	71
<b>Second-Look Cases</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Received	74	76	87	96	76	75	94	64	77	67	92	81	77
Resolved	87	84	62	112	134	95	122	112	130	126	123	94	73
Inventory	331	323	348	332	278	259	232	198	154	102	77	63	62
Affirmed (Remains Closed)	82	80	59	104	122	91	110	107	110	114	107	86	68
Reopened (for Investigation)	5	4	3	8	12	4	12	5	20	12	16	8	5
Mean Days to Disposition	105	146	140	93	76	70	73	86	107	93	87	51	27
Median Days to Disposition	94	118	129	113	35	40	38	34	46	42	31	28	22
<b>Walker Petitions</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Filed	5	6	1	6	6	5	5	9	7	14	9	12	16
Disposed	4	3	8	1	4	4	9	5	6	10	9	14	9
Granted	0	0	0	0	0	0	0	0	0	0	0	0	0
Denied	4	3	8	1	4	4	9	5	6	10	9	14	9
<b>Backlog</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Total Backlog	1,590	1,659	1,672	1,494	1,506	1,508	1,501	1,536	1,414	1,562	1,667	1,645	1,730
Active Backlog	606	640	673	632	707	705	750	758	634	792	875	892	949
Suspended Backlog	984	1,019	999	862	799	803	751	778	780	770	792	753	781
<b>Alternative Measures of Backlog</b>	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
If Backlog Were 243 Days													
Total	1,264	1,351	1,373	1,222	1,161	1,174	1,155	1,191	1,157	1,242	1,289	1,292	1,387
Suspended	882	923	908	794	727	739	692	481	439	720	740	694	720
Active	382	428	465	428	434	435	463	710	718	522	549	598	667
If Backlog Were 197 Days													
Total	1,515	1,568	1,607	1,411	1,369	1,418	1,371	1,431	1,356	1,435	1,508	1,531	1,629
Suspended	962	994	985	848	780	791	736	676	592	758	770	743	770
Active	553	574	622	563	589	627	635	755	764	677	738	788	859

## CSF - Caseload, Inventory and Payments

Applications Received

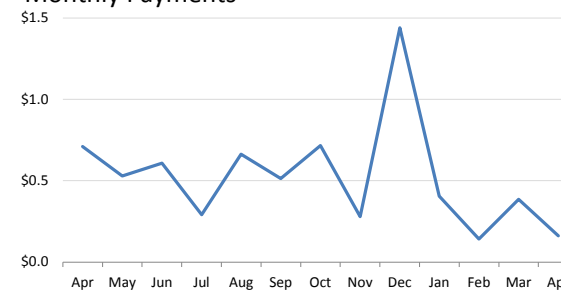


Inventory

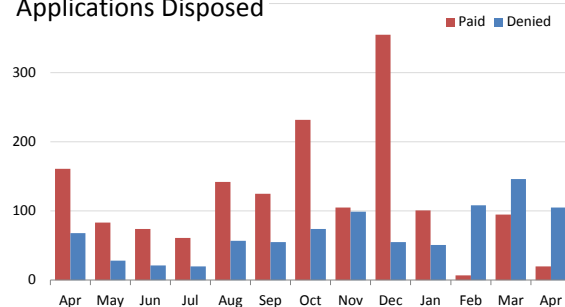


Monthly Payments

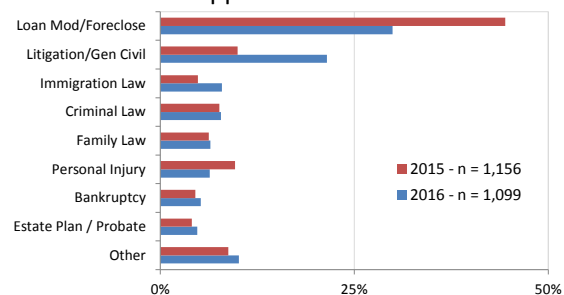
(millions of \$)



Applications Disposed

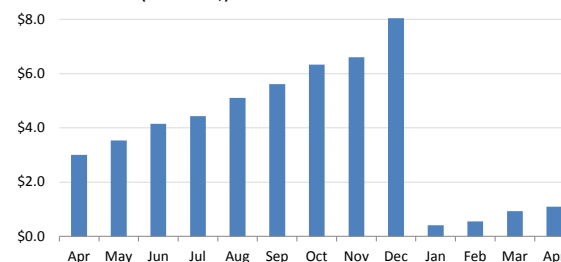


Area of Law for Applications



Cumulative Payments (year to date)

(millions of \$)



	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr
Applications received	112	69	78	87	168	112	78	50	70	78	82	78	49
Applications paid	161	83	74	61	142	125	232	105	355	101	7	95	20
Applications denied/terminated	68	28	21	20	57	55	74	99	55	51	108	146	105
Inventory	5,128	5,077	5,060	5,065	5,033	4,964	4,737	4,583	4,243	4,168	4,135	3,972	3,896
Payments (millions)	\$0.71	\$0.53	\$0.61	\$0.29	\$0.66	\$0.51	\$0.72	\$0.28	\$1.44	\$0.41	\$0.14	\$0.38	\$0.16
Average Payment	\$4,415	\$6,374	\$8,227	\$4,758	\$4,662	\$4,102	\$3,084	\$2,654	\$4,054	\$4,015	\$20,316	\$4,052	\$8,093
Cumulative Payment (Year-to-Date) (millions)	\$3.0	\$3.5	\$4.1	\$4.4	\$5.1	\$5.6	\$6.3	\$6.6	\$8.0	\$0.4	\$0.5	\$0.9	\$1.1
Number of CSF Staff	8	8	8	8	8	8	8	8	8	8	7	7	7

## Timeline / Taskplan for Redesign of Discipline System Statistical Report

TASKS	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1 ORIA - Review & redesign first half of OCTC reports		x										
2 RAD - Review and approve redesign			x									
3 RAD - Final side-by-side review of new and old reports				x								
4 ORIA - Review and redesign second half of OCTC reports and CSF data					x							
5 RAD - Review and approve redesign					x							
6 RAD - Final side-by-side review of new and old reports						x						
7 ORIA - Review and redesign of SBC and Probation data						x						
8 RAD - Review and approve redesign							x					
9 RAD - Final side-by-side review of new and old reports								x				
10 ORIA - Review and redesign of LAP and discipline system outcome data									x			
11 RAD - Review and approve redesign									x			
12 RAD - Final side-by-side review of new and old reports										x		
13 ORIA - Review and redesign of MFA and Professional Competence data											x	
14 RAD - Review and approve redesign											x	
15 RAD - Final side-by-side review of new and old reports												x

**Existing Reports to be reviewed and redesigned:**

Office of Chief Trial Counsel (OCTC)  
 State Bar Court (SBC)  
 Probation  
 Client Security Fund (CSF)  
 Lawyer Assistance Program (LAP)  
 Mandatory Fee Arbitration (MFA)  
 Professional Competence

**New Reports to Develop:**

Recidivism  
 Timeliness  
 Client Satisfaction

**PRELIMINARY REPORT**Data covering period from April 1, 2017 to April 30, 2017**Client Security Fund**

*The Client Security Fund is designed as a remedy for legal consumers, in addition to, but separate from discipline. Financed by an annual membership assessment, the Client Security Fund reimburses victims up to \$100,000 for losses due to attorney theft or an act equivalent to theft.*

<b>Category</b>	<b>Current Month</b>	<b>YTD</b>
Number of applications received	49*	286*
Number of applications paid	20	223
Amount paid	\$161,862	\$1,094,553
Average Amount Paid	\$8,093	\$4,908
Number of applications denied/terminated	105	410
Number of applications outstanding	3,896	3,896
Number of Staff	7	7
Number of Vacancies	0	0

**5-Year CSF Summary of Applications Paid**

<b>Year</b>	<b>No. of Applications Paid</b>	<b>Applications Paid in Dollars</b>
2013	<b>1,999</b>	<b>\$11,054,352</b>
2014	<b>1,152</b>	<b>\$9,031,386</b>
2015	<b>821</b>	<b>\$6,012,453</b>
2016	<b>1,793</b>	<b>\$8,037,525</b>
2017	<b>223</b>	<b>\$1,094,553</b>

\* 0 re-opened applications for the current month and a total of 4 YTD

**CLIENT SECURITY FUND**  
**2017 NEW APPLICATIONS BY AREA OF LAW BY MONTH**

[illegible]

**CLIENT SECURITY FUND  
NEW APPLICATIONS BY AREA OF LAW**

**APRIL 2017**

<b>AREA OF PRACTICE</b>	<b># OF APPLICATIONS</b>	<b># OF NEW RESPONDENTS</b>
ADA Law / Disability Access Claims		
Administrative / Government		
Arbitrators / Mediators		
Bankruptcy Law	1	1
Class Action		
Personal Conduct (not in specific area)		
Criminal Law	5	
Debtor / Creditor		1
Escrow Holder	1	
Estate Planning / Probate	1	3
Family Law	4	1
Healthcare Enrollment		
Immigration Law	3	1
Intellectual Property	1	
Landlord / Tenant Law		
Litigation / General Civil Claims	8	
Loan Modification / Foreclosure	17	2
Other		1
Personal Injury	1	5
Tax Law		1
Transactional Law	7	1
Worker's Compensation Law		
<b>TOTAL:</b>	<b>49</b>	<b>17</b>

**FORMAL FILINGS (NEW CASES INITIATED) IN HEARING DEPARTMENT: INFLOW**

	<b>2016</b>										<b>2017</b>		
	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>
By Notice of Disciplinary Charge	28	43	21	20	47	44	57	38	55	102	16	25	39
By Stipulation	6	13	15	18	4	5	7	5	9	11	13	2	6
By Conviction Transmittal	8	2	14	14	12	9	3	4	13	14	3	8	5
By Inactive Enrollment Proceeding	1	0	2	3	2	1	2	0	1	0	2	4	2
By Reinstatement Petition	0	1	2	1	0	1	1	0	1	1	0	0	0
By Moral Character Appeal	0	0	2	0	0	0	0	1	2	0	0	2	0
<b>TOTAL</b>	<b>43</b>	<b>59</b>	<b>56</b>	<b>56</b>	<b>65</b>	<b>60</b>	<b>70</b>	<b>48</b>	<b>81</b>	<b>128</b>	<b>34</b>	<b>41</b>	<b>52</b>

**DISCIPLINE DECISION OR ORDER BY HEARING DEPARTMENT: OUTFLOW**

	<b>2016</b>										<b>2017</b>		
	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>
Discipline Recommendation: Trial Decision	4	7	7	5	7	11	3	15	10	1	10	7	6
Discipline Recommendation: Stipulation	22	25	31	29	25	23	9	31	13	21	30	16	29
Discipline Recommendation: ADP Decision	7	0	0	4	8	0	5	0	4	3	0	3	5
Discipline Recommendation: Default Decision	11	24	8	11	2	13	16	20	2	9	12	6	9
Dismissal: By Trial Decision	0	0	1	0	0	1	0	0	0	0	0	0	0
Dismissal: OCTC Motion	1	2	2	1	3	0	2	2	1	1	2	2	1
Admonition*	0	0	0	0	1	2	0	1	0	0	0	0	0
<b>TOTAL</b>	<b>45</b>	<b>58</b>	<b>49</b>	<b>50</b>	<b>46</b>	<b>50</b>	<b>35</b>	<b>69</b>	<b>30</b>	<b>35</b>	<b>54</b>	<b>34</b>	<b>50</b>

\* An admonition is not discipline.

**NON-DISCIPLINARY DECISION OR ORDER BY HEARING DEPARTMENT: OUTFLOW**

	<b>2016</b>										<b>2017</b>		
	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>
Moral Character Appeals – GRANTED	0	0	0	0	0	0	0	0	0	0	0	0	1
Moral Character Appeals – DENIED	0	0	0	0	0	0	0	0	0	0	0	0	0
Reinstatement Petitions – GRANTED	0	0	1	0	0	0	0	0	0	0	0	0	0
Reinstatement Petitions – DENIED	0	0	0	0	0	0	0	0	0	0	0	0	0
Inactive Enrollment Proceedings – GRANTED	0	0	1	4	0	1	1	1	0	0	0	2	3
Inactive Enrollment Proceedings – DENIED	0	0	0	0	0	0	1	0	0	0	0	1	0

*Moral Character appeals* are filed by the applicant with OCTC representing the Committee of Bar Examiners in opposition. "Granted" refers to a State Bar Court decision granting the applicant's appeal. "Denied" refers to a State Bar Court decision denying the applicant's appeal.

*Reinstatement petitions* are filed by disbarred or resigned attorneys seeking reinstatement to the practice of law. "Granted" refers to a State Bar Court decision granting the petition and recommending the attorney's reinstatement. "Denied" refers to a State Bar Court decision denying the attorney's reinstatement to the practice of law.

*Inactive enrollment proceedings* are brought pursuant to Business and Professions Code section 6007. "Granted" refers to a State Bar Court order granting the application and placing the attorney on inactive status. "Denied" refers to a State Bar Court order denying the application.

## OCTC Month-End Report for March 2017

## Appendix D

**PLENARY REVIEW OPINION BY REVIEW DEPARTMENT: OUTFLOW\***

	<b>2016</b>										<b>2017</b>		
	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>
Respondent's Appeal Hearing Dept. Rec. Affirmed By Respondent	0	0	3	2	2	0	2	5	2	0	2	0	0
Respondent's Appeal Hearing Dept. Rec. Affirmed By Complaint	0	0	4	3	3	0	6	6	2	0	2	0	0
Respondent's Appeal Hearing Dept. Rec. Modified By Respondent	0	0	1	0	0	1	2	1	1	1	1	0	1 <sup>[1]</sup>
Respondent's Appeal Hearing Dept. Rec. Modified By Complaint	0	0	1	0	0	3	3	2	1	1	1	0	1 <sup>[1]</sup>
OCTC's Appeal Hearing Dept. Rec. Affirmed By Respondent	0	0	0	0	1	0	1	0	0	0	1	0	0
OCTC's Appeal Hearing Dept. Rec. Affirmed By Complaint	0	0	0	0	1	0	2	0	0	0	1	0	0
OCTC's Appeal Hearing Dept. Rec. Modified By Respondent	3 <sup>[2]</sup>	1	2	1	0	1	2	0	1	2	0	1	0
OCTC's Appeal Hearing Dept. Rec. Modified By Complaint	3 <sup>[2]</sup>	1	6	4	0	3	3	0	1	2	0	2	0

<sup>[1]</sup> Total includes one matter in which the opinion was pursuant to summary, not plenary review.

<sup>[2]</sup> Total includes one matter in which the Hearing Department had previously recommended non-disciplinary admonition (Feb. 2015). This admonition was reported in the Feb. 2015 report.

\* May include cross-appeals by both OCTC and Respondent.

**INTERLOCUTORY ORDER BY REVIEW DEPARTMENT: OUTFLOW**

	<b>2016</b>										<b>2017</b>		
	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>
Respondent's Appeal – Denied	0	1	0	0	0	0	0	0	0	0	0	0	0
Respondent's Appeal – Granted	0	0	0	0	0	0	0	0	0	0	0	0	0
OCTC's Appeal – Denied	0	1	0	0	0	0	0	0	0	0	0	0	1
OCTC's Appeal – Granted	0	0	0	0	0	0	0	0	0	0	0	0	0