



# The State Bar of California

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## **OPEN SESSION AGENDA ITEM REGULATION AND DISCIPLINE COMMITTEE III.C**

**DATE:** January 21, 2021

**TO:** Members, Regulation and Discipline Committee

**FROM:** Brandon Stallings, Chair, Regulation and Discipline Committee

**SUBJECT:** Discussion and Adoption of 2021 Regulation and Discipline Committee Work Plan

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### **EXECUTIVE SUMMARY**

The Regulation and Discipline (RAD) is responsible for monitoring the operational and financial performance of the State Bar's regulation and discipline functions. Section 2.11 of the Board of Trustees Policy Manual requires Board Committees to submit an annual work plan. The chair and vice-chair of RAD submit the following draft work plan for discussion and finalization by the full committee.

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### **BACKGROUND**

The Regulation and Discipline (RAD) has oversight responsibility for a number of State Bar initiatives that occur every year and also for a number of time-limited projects. The draft work plan that follows was developed by the chair and vice-chair of RAD in collaboration with State Bar staff based on discussion of the full committee at its November 2020 meeting. The work plan is organized to distinguish between RAD's recurring work and time limited projects.

### **DISCUSSION**

See Attachment A for work plan.

### **FISCAL/PERSONNEL IMPACT**

None

## **AMENDMENTS TO RULES OF THE STATE BAR**

None

## **AMENDMENTS TO BOARD OF TRUSTEES POLICY MANUAL**

None

## **STRATEGIC PLAN GOALS & OBJECTIVES**

Goal: 1. Successfully transition to the “new State Bar”— an agency focused on public protection, regulating the legal profession, and promoting access to justice.

Objective: b. Implement and pursue governance, composition, and operations reforms needed to ensure that the Board’s structure and processes optimally align with the State Bar’s public protection mission.

## **RECOMMENDATIONS**

**Should the Regulation and Discipline Committee concur in the proposed action, passage of the following resolution is recommended:**

**RESOLVED**, that the Regulation and Discipline Committee adopt the 2021 Regulation and Discipline Committee work plan included with this item as Attachment A, subject to approval by the Board Executive Committee.

## **ATTACHMENT(S) LIST**

- A.** 2021 Regulation and Discipline Committee Work Plan

Annual/Recurring Items	To Committee? By When?	To Board? By When?	Link to Strategic Plan
Discipline System Statistical Report (DSSR)—This bimonthly report includes approved Discipline System Metrics and additional data points from all components of the discipline system	Yes: Distribute via RAD Committee Agenda	No	Goal 2 Objective C
Review of the Annual Discipline Report (ADR) <ul style="list-style-type: none"> <li>Business and Professions Code section 6086.15 says that State Bar “shall issue an Annual Discipline Report by April 30 of each year describing the performance and condition of the State Bar discipline system, including all matters that affect public protection.”</li> </ul>	Yes/March 2021	Yes/March 2021	NA/Compliance
Reports by offices within the purview of RAD	Yes/March 2021, July 2021, and November 2021	No	Goal 2 Objective C

Time-Limited Items	To Committee? By When?	To Board? By When?	Link to Strategic Plan
ADR messaging and marketing	Yes/March 2021	No	Goal 5
RAD leadership work with staff to review Professor Robertson's recommendations regarding disparities in the discipline system and recommend new implementation and research priorities.	Yes/March 2021	TBD	Goal 2
Ad Hoc Commission on Discipline System: update from commission leadership	Yes /July 2021, September 2021, and November 2021	No	Goal 2
OCTC hiring and retention: update	Yes/November 2021	No	Goal 2