



# Office of Compliance

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A modern office interior with desks, computers, and large windows. The image is split into two vertical panels. The left panel shows a desk with multiple computer monitors and a wooden desk surface. The right panel shows a desk with a single computer monitor and a wooden desk surface. The background is a light gray wall.

## Purpose



To proactively monitor the State Bar's compliance with statutes, rules, policies, and procedures.



To proactively monitor the State Bar's implementation of audit recommendations.

## History of Compliance Efforts

- Office of Research & Institutional Accountability established in 2016
  - Institutional Accountability intended to refer to internal compliance work
  - Never fully realized
    - Initial compliance scan completed
    - Follow up not prioritized



# New Office, New Launch

CONSULTANT SUPPORT TO DEVELOP OFFICE

## Scope of Work

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- Recommend scope and structure of office
  - Recommend staffing types and levels
  - Develop initial two-year workplan and associated metrics
  - Provide training and technical assistance to compliance staff
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## TENTATIVE TIMELINE

Launch January 2023





## Relationship to Audit Committee



Review office workplan



Receive regular progress reports



Other?