



The State Bar of California

Audit Committee Hybrid

Open Session Minutes
Thursday, May 18, 2023
9:21 a.m.–10:51 a.m.

Time Meeting Commenced: The Audit Committee meeting commenced in open session at 9:21 a.m. The Audit Committee moved into closed session at 10:01 a.m. and returned to open session at 10:50 a.m.

Time Meeting Adjourned: 10:51 a.m.

Chair: Melanie Shelby

Committee Coordinator: Justin Ewert

Members Present: Mark Broughton, Hailyn Chen, Gregory Knoll, Melanie Shelby, Mark Toney

Members Absent: None

Staff Present: Ellin Davtyan, Leah Wilson

OPEN SESSION

ROLL CALL

The Audit Committee was called to order by Chair Shelby. Roll call was taken and a quorum was established.

CALL FOR PUBLIC COMMENT

Chair Shelby called for public comment, inquiring as to whether there were person(s) who wished to comment on any agenda item. The following comment was provided to the Committee:

1. Micheal Sternberg
Mr. Sternberg addressed the committee on his concern that the State Bar does not properly investigate misconduct. He spoke at the Executive Committee earlier and was continuing his chronology of events. He was upset about how his custody dispute was handled and made a complaint against the opposing party's attorney. He stated his opinion that the State Bar should not turn a blind eye to his complaint. He indicated that he had filed multiple complaints that had not been investigated sufficiently.

I. CHAIR'S REPORT

Chair Shelby provided an oral report.

II. MINUTES

- A. Approval of March 16, 2023, Open Session Minutes
- B. Approval of April 28, 2023, Open Session Minutes

Moved by Chen, seconded by Broughton

Ayes – (5) Broughton, Chen, Knoll, Shelby, Toney

Noes – (0)

Abstain – (0)

Absent – (0)

III. CONSENT

- A. Approval of 2023 Quarter One Board and Management Travel Expense Report

RESOLVED, that the Audit Committee recommends that the Board of Trustees approve the first quarter of 2023 Board and management travel expense report in the form presented this day before the committee, for the three months ended March 31, 2023.

Consent calendar moved by Knoll, seconded by Toney

Ayes – (5) Broughton, Chen, Knoll, Shelby, Toney

Noes – (0)

Abstain – (0)

Absent – (0)

IV. BUSINESS

- A. Correction of 2022 Quarterly Board and Management Travel Expense Report

Presenter: Aracely Montoya-Chico, Chief Financial Officer

RESOLVED, that the Audit Committee recommends that the Board of Trustees approve the revised 2022 quarterly Board and Management Travel Expense reports in the form presented this day before the Committee.

Moved by Toney, seconded by Chen

Ayes – (5) Broughton, Chen, Knoll, Shelby, Toney

Noes – (0)

Abstain – (0)

Absent – (0)

B. Discussion of Organizational Audit Focused on Diversity, Equity and Inclusion

Presenters: Theresa Bruece, Principal HR Analyst, Office of Recruitment & Retention
Mara Rosales, Rosales Law Partners LLP

Presentation and discussion only.

Chair Shelby stated that pursuant to Business and Professions Code section 11126(c)(18(A), the Audit Committee will move to closed session to consider the item listed on the closed session agenda.

CLOSED SESSION

I. BUSINESS

A. IT Security Assessment Update

**Closed pursuant to Government Code § 11126(c)(18)(A)*

OPEN SESSION

The Audit Committee returned to open session and reported that there was no action to report from closed session.

ADJOURN